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PTO/SB/81 (02-01)

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Application Number	09/977,065
Filing Date	October 12, 2001
First Named Inventor	Jyoti Kiron Bhardwaj
Title	Waveguide Stress Engineering and ...
Group Art Unit	2817
Examiner Name	Unknown
Attorney Docket Number	25-4 US

I hereby appoint:

☐ Practitioners at Customer Number

OR

☒ Practitioner(s) named below:

Name	Registration Number
Jeffrey R. Klembczyk	Regn No: 39,730
Kevin P. Radigan	Regn No: 31,789

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

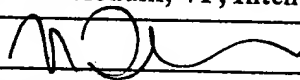
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Neil Teitelbaum, VP, Intellectual Property, JDS Uniphase Corporation

Signature



Date

May 27, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

COPY**ASSIGNMENT**

WHEREAS, Scion Photonics, Inc., a Delaware Corporation having offices at 345 Los Coches Street, Milpitas, California ("SCION") owns by common law, assignment, or otherwise all right, title, and interest in the U.S. Patent Applications of Exhibit "A," and any inventions described and claimed therein; and

JDS Uniphase Corporation, a Delaware corporation having offices at 1768 Automation Parkway, San Jose, California ("JDSU"), desires to own SCION's entire right, title, and interest in and to these Patent Applications and the inventions described and claimed therein, in all countries throughout the world.

NOW THEREFORE, be it known that, for good and valuable consideration, receipt of which is hereby acknowledged, SCION hereby sells, assigns, transfers, and sets over to JDSU, its lawful successors and assigns, SCION's entire right, title, and interest in and to the U.S. Patent Applications listed on Exhibit A, the inventions described and claimed therein, any other patent applications directed to the inventions, and all Letters Patent of the United States that may be granted thereon, and all reissues, reexaminations, and extensions thereof; and all rights to claim priority on the basis of such applications, and all applications for Letters Patent that may be filed for the inventions in any foreign country and all Letters Patent that may be granted on the inventions in any foreign country, and all extensions, renewals, and reissues thereof; and SCION hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for these inventions to JDSU, its successors and assigns, in accordance with the terms of this Assignment;

AND, SCION HEREBY further covenants that SCION has the full right to convey the interest assigned by this Assignment, SCION will take all action and execute all documents necessary to perfect the interest assigned hereby, and SCION has not executed and will not execute any agreement in conflict with this Assignment;

AND, SCION HEREBY further covenants and agrees that SCION, through its officers and employees, will communicate with JDSU, its successors and assigns, any facts known to SCION and its officers and employees respecting the inventions and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to the invention in said JDSU, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths, and generally do everything reasonably necessary to aid JDSU, its successors and assigns, to obtain and enforce proper patent protection for the inventions in the United States and any foreign country, it being understood that any reasonable expense incident to the execution of such actions shall be borne by JDSU, its successors and assigns.

IN TESTIMONY WHEREOF, SCION has caused its authorized representative to execute this Assignment.

Scion Photonics, Inc.

By: [Signature]

Printed Name: Michael C. Phillips

Title: Senior Vice President, Business Development
General Counsel

Date: May 20, 2002

Declaration by Witness

I, Dana E. Olsasky, whose full post office address is
1768 Automation Pkwy.,
San Jose, CA 95131 say:

That I was personally present and did see Michael C. Phillips who is personally known to me, duly sign and execute the above Assignment.

Dana E. Olsasky
Signature of Witness

Executed this 20th day of May, 2002
at San Jose, CA

EXHIBIT A

Docket Number	Title	Serial Number	Filing Date
1990.001	"Redundant Package for Optical Components"	09/901,474	9 July 01
1990.003	"Apparatus and Method for Dicing and Testing Optical Devices, Including Thin Film Filters"	09/931,282	16 Aug 01
1990.004	"Waveguide Stress Engineering and Compatible Passivation in Planar Lightwave Circuits"	09/977,065	12 Oct 01
1990.006	"High Thermal Efficiency, Small Form-Factor Packages Including Thermally Insulative Cavities, and Transfer Molded Variants"	10/010,931	13 Nov 01
1990.007	"Precision Fiber Optic Alignment and Attachment Apparatus"	10/001,266	30 Nov 01
1990.008	"Compact, Four Port Film Filter Optical Add/Drop Multiplexer"	10/072,472	07 Feb 02
1990.009	"Compact, Low Insertion Loss, High Yield Arrayed Waveguide Grating"	10/077,581	15 Feb 02
1990.010	"Multi-Band Arrayed Waveguide Grating With Improved Insertion Loss and Wavelength Accuracy"	10/099,565	15 Mar 02
1990.011	"Transfer Molded Packages With Embedded Thermal Insulation"	10/100,277	18 Mar 02